

Application of: Lipper, Arthur, III

Appln. No. 10/010,946

For: DYNAMIC SECURITY PRICE AND VALUE COMPARATOR AND INDEXOR

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IN THE CLAIMS:

Please amend claims 1 and 6 as set forth in the complete claim listing below. This listing of claims will replace all prior versions and listings of claims in the application:

1. (Currently Amended) An automated system for dynamic security price and value indexed comparison, comprising:

a central web server running supporting software including a spreadsheet for maintaining current and historical security market data figures, and a security monitoring module for importing said security market data figures into the spreadsheet, said central web server being individually accessible by subscribers;

a comparator & indexer applet also maintained on the central web server and accessible by said subscribers upon connection to said central web server to derive user-selectable security factors data from the security market data figures in the central server and to calculate the index number of said user-selectable security data as indexed values factors relative to a single pivot security, and to display said user-selectable security data factors arranged in a table of rows of user-selectable securities and columns of said factors statistics derived from said user-selectable security data, said display statistics selectively including the absolute value of said security factors and/or the index number of said security factors indexed statistics and absolute statistics;

whereby said automated system permits a user to compare, in both absolute and indexed terms, a plurality of statistics relating to a plurality of user-selected securities.

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2. (Previously Presented) The automated system according to claim 1, wherein said comparator & indexer applet is a Java applet that allows each accessing subscriber to designate a subset of securities from said database, designate one or more financial statistics, designate a pivot security, calculate said financial statistics, and display said financial statistics to said accessing user dynamically and in real time.

3. (Previously Presented) The automated system according to claim 2, wherein said Java applet allows each accessing user to designate, calculate and display said one or more financial statistics in spreadsheet form by rows corresponding to each designated security and columns of each financial statistic as either absolute values, or as indexed values relative to said pivot security.

4. (Previously Presented) The automated system according to claim 3, wherein said one or more financial statistics include any subset from among the group comprising latest price change in price vs 30 days, % change in price vs 90 days, % change in price vs 180 days, % change in price vs 365 days, % change in price from 52 week high, % change in price from 52 week low, average daily volume 90 days, market capitalization (mrq) Shares outstanding (mrq), annual dividend (ttm), dividend yield (ttm), earnings yield (ttm), per share book value (ttm), cps (earnings per share), sales, ebitda (earnings bef. income/taxes), price/book value (mrq), price/earnings (ttm), price/sales (ttm), return on assets, return on equity, current ratio (mrq), debt/equity (mrq), shares short, and short ratio.

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5. (Previously Presented) The automated system according to claim 4, wherein said system allows said accessing user to compare the designated securities based on personalized opportunity costs.

6. (Currently Amended) A method for dynamic security price and value indexed comparison, comprising the steps of:

maintaining current and historical security market data figures in a database for a plurality of securities;

providing individual access to said database for subscribers;

allowing each accessing subscriber to designate a subset of securities from said database;

allowing each accessing subscriber to designate one or more security factors ~~financial~~ statistics to be calculated based on said historical security market data figures, and displayed for each designated security;

allowing each accessing subscriber to designate one of said securities from said subset to be a pivot security;

calculating said security factors ~~financial-statistics~~ from said historical security market figures, and for each security factor ~~financial-statistic~~ calculating an index number ~~indexed-value~~ relative to the corresponding security factors ~~financial-statistics~~ for said pivot security;

displaying said security factors ~~financial-statistics~~ to said accessing user in both absolute terms and as said index number ~~indexed-value~~ relative to the pivot security;

whereby said method permits accessing users to compare, in both absolute and indexed terms, a plurality of statistics relating to a plurality of user-selected securities.

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7. (Previously Presented) The method according to claim 6, wherein said steps of allowing each accessing subscriber to designate a subset of securities from said database, allowing each accessing subscriber to designate one or more financial statistics, allowing each accessing subscriber to designate a pivot security, and calculating said financial statistics, and displaying said financial statistics to said accessing user are collectively implemented in software as a first Java applet that dynamically accomplishes all of said steps in real time.

8. (Previously Presented) The method according to claim 7, wherein said first Java applet allows each accessing user to designate, calculate and display said one or more financial statistics as a spreadsheet by rows corresponding to each designated security and columns of each financial statistic as either absolute values, or as indexed values relative to said pivot security.

9. (Previously Presented) The method according to claim 8, wherein said one or more financial statistics include any subset from among the group comprising latest price, % change in price vs 30 days, % change in price vs 90 days, % change in price vs 180 days, % change in price vs 365 days, % change in price from 52 week high, % change in price from 52 week low, average daily volume 90 days, market capitalization (mrq), shares outstanding (mrq), annual dividend (ttm), dividend yield (ttm), earnings yield (ttm), per share book value (ttm), eps (earnings per share), sales, ebitda (earnings bef. income/taxes), price/book value (mrq),

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price/earnings (ttm), price/sales (ttm), return on assets, return on equity, current ratio (mrq), debt/equity (mrq), shares short, and short ratio.

10. (Previously Presented) The method according to claim 9, wherein said system allows said accessing user to compare the designated securities based on personalized opportunity costs.

11. (Previously Presented) The method according to claim 8, wherein said step of maintaining current and historical security market figures in a database for a plurality of securities is implemented as a second Java applet, and said first and second Java applets cooperate to maintain said spreadsheet display updated in real time.